

RAILWAY BALLAST DAMAGE DETECTION BY
VIBRATION MEASUREMENT OF IN-SITU
CONCRETE SLEEPER

WONG MAN TAT

Degree of Engineering Doctorate

CITY UNIVERSITY OF HONG KONG

June 2014

CITY UNIVERSITY OF HONG KONG

香港城市大學

Railway Ballast Damage Detection by

Vibration Measurement of In-Situ

Concrete Sleeper

量度混凝土軌枕的震盪來診斷鐵路道碴的損傷

Submitted to

Department of Civil and Architectural Engineering

土木及建築工程系

in Partial Fulfilment of the Requirements

for the Degree of Engineering Doctorate

工程學博士學位

by

Wong Man Tat

黃文達

June 2014

二零一四年六月

Table of Contents

ACKNOWLEDGEMENTS	I
PUBLISHED PAPERS	II
TABLE OF CONTENTS	IV
LIST OF FIGURES	VIII
ABSTRACT	XIV
CITY UNIVERSITY OF HONG KONG QUALIFYING PANEL AND EXAMINATION PANEL	XVI
CHAPTER 1. INTRODUCTION	1
1.1. Motivation	1
1.2. Background Knowledge about Ballasted Tracks	4
1.3. Problem Statement	11
1.4. Different Definitions of Ballast-Damage	14
1.5. Project Aim and Objectives	17
1.6. Organisation of the Thesis	19
CHAPTER 2. LITERATURE REVIEW	21
2.1. Traditional Ballasted Track Monitoring Methods	21
2.1.1 Trial Pit (TP) and Automatic Ballast Sampler (ABS)	22
2.1.2 Track Geometry/Overhead Line Inspection Vehicle (TOV).....	24
2.1.3 Ground Penetrating Radar (GPR)	28
2.1.4 Continuous Surface Wave System (CSWS)	30
2.1.5 Falling Weight Deflectometer (FWD)	32
2.1.6 Discussions on Traditional Methods	33
2.2. Non-Model Based Damage Detection Methods	34
2.2.1 Direct Comparison of Mode Shapes.....	35
2.2.2 Damage Detection by the “Slope” of Mode Shapes.....	36
2.2.3 Damage Detection by the “Curvature” of Mode Shapes	37

2.2.4	Discussions on Non-Model Based Damage Detection Methods	38
2.3.	<i>Model-Based Damage Detection Methods</i>	39
2.3.1	Sensitivity-Based Damage Detection	39
2.3.2	Model Updating Based Damage Detection	41
2.3.3	Artificial Neural Network (ANN) Based Damage Detection.....	44
2.3.4	Discussions on Model-Based Damage Detection Methods.....	49
2.4.	<i>Methods for Modelling of the In-Situ Sleeper</i>	50
2.4.1	Beam on Elastic Foundation.....	52
2.4.2	Discussion on the In-Situ Sleeper Modelling Methods.....	54
2.5.	<i>Modal Identification Methods</i>	54
2.6.	<i>Summary of Literature Review</i>	59
CHAPTER 3.	THE DEVELOPMENT OF THE PROPOSED METHODOLOGY	60
3.1.	<i>Modelling of the In-Situ Sleeper</i>	60
3.1.1	Basic Considerations	60
3.1.2	Analytical Euler Beam with Two Springs (Rails) on an Elastic Foundation	65
3.1.3	Finite Element Model of an Euler Beam with Two Masses (Rails) on an Elastic Foundation	71
3.1.4	Finite Element Model of a Timoshenko Beam with Two Masses (Rails) on an Elastic Foundation	73
3.1.5	The Selected Class of Models.....	75
3.2.	<i>Impact Hammer Test and Modal Identification</i>	76
3.2.1	The Selection of Vibration Measurement Method	76
3.2.2	The Proposed Impact Hammer Test.....	77
3.2.3	Modal Identification by MODE-ID	80
3.3.	<i>Model Updating Utilizing Measured Modal Parameters of the In-Situ Sleeper</i>	85
3.4.	<i>Ballast-Damage Detection by Model Updating</i>	90
3.5.	<i>Artificial Neural Networks (ANN) Based Ballast-Damage Detection</i>	92
3.6.	<i>Summary of the Proposed Methodology</i>	97
CHAPTER 4.	NUMERICAL CASE STUDIES.....	100
4.1.	<i>Description of the Sample</i>	101
4.2.	<i>Verification of Existing Non-Model Based Methods</i>	104
4.2.1	Direct comparison of mode shape	107

4.2.2	Direct comparison of mode shape slopes	110
4.2.3	Direct comparison of mode shape curvature.....	113
4.2.4	Discussions on non-model based methods.....	116
4.3.	<i>The Selection of an Appropriate Model Class</i>	117
4.3.1	Analytical Model vs. Finite Element Model (Euler Beam)	117
4.3.2	Euler Beam vs. Timoshenko Beam in Sleeper Modelling	123
4.4.	<i>A Feasibility Study on the Proposed Model Updating Based Ballast-Damage Detection Method</i>	124
4.4.1	List of Damage Cases and Computer Simulation.....	125
4.4.2	Modal Identification Results	130
4.4.3	Model Updating Results.....	138
4.4.4	Concluding Remarks of the Feasibility Study	150
4.5.	<i>Verification of the Proposed ANN-based Ballast-Damage Detection Method</i>	151
4.5.1	ANN Design and Training	151
4.5.2	ANN-Based Damage Detection Results	157
4.6.	<i>Summary of the Numerical Case Studies</i>	159
CHAPTER 5.	FULL-SCALE EXPERIMENTAL CASE STUDIES	161
5.1.	<i>Experimental Setup</i>	161
5.1.1	The Full-Scale Test Panel.....	161
5.1.2	Simulation of Ballast-Damage and List of Damage Cases.....	166
5.1.3	Equipment and Experimental Procedures.....	170
5.2.	<i>Measurement Results and Modal Identification</i>	178
5.3.	<i>Model Updating and Ballast-Damage Detection</i>	186
5.4.	<i>Discussion and Summary of the Experimental Verification</i>	198
CHAPTER 6.	A PRELIMINARY STUDY ON THE EFFECTS OF TEMPERATURE ON AN IN-SITU SLEEPER	200
6.1.	<i>Background Study</i>	201
6.2.	<i>Description of Experimental Setup</i>	203
6.3.	<i>Discussion on the Effects of Temperature Changes</i>	207
CHAPTER 7.	CONCLUSIONS	209
7.1.	<i>Project Significance</i>	213
7.2.	<i>Limitations of the Proposed Method and Further Research</i>	214

REFERENCES	218
APPENDIX IA: THE SPECIFICATIONS OF BALLAST (HONG KONG)	232
APPENDIX IB: THE SPECIFICATIONS OF BALLAST (BRITISH STANDARD BSEN 13450:2002 – AGGREGATES FOR RAILWAY BALLAST)	234
APPENDIX IC: THE SPECIFICATION OF BALLAST (AUSTRALIA)	238
APPENDIX II: HONG KONG BALLAST TEST STANDARD.....	239
APPENDIX III: EQUIPMENT SPECIFICATIONS	241

Abstract

The railway system is one of the most important transportation systems for modern society. To ensure its safety, the current railway track monitoring system is very comprehensive for monitoring the levels, alignments and gauges. However, the detection of damaged railway ballast still relies heavily on visual inspection. It is clear that visual inspection can only identify damaged ballast on the track surface. Ballast-damage under the concrete sleepers, which is the most critical hazard to railway traffic, is extremely difficult to “observe”. At present, destructive core tests are the most reliable way to inspect the ballast condition below the surface. However, this kind of test is time consuming, and if the test is not carried out properly the train service will be affected.

The main objective of this research project is to develop a practical damage detection method for assisting Permanent Way engineers and inspectors to quantify the “health” condition of ballast under the concrete sleepers during visual inspections. To achieve this goal, a very comprehensive literature review was carried out on the existing methods of track monitoring. It was found that the non-model-based methods have only limited success in detecting ballast-damage. To quantify the extent of damage, model-based methods are more reliable. Therefore, the proposed ballast-damage detection method follows the model-based approach. When the ballast is damaged, its stiffness in supporting the concrete sleeper is reduced, and this alters the vibration characteristic of the in-situ concrete sleeper. Therefore, it is possible to quantify the degree of ballast-damage by measuring the vibration of the in-situ concrete sleeper without lifting it up for ballast inspection.

At the beginning of this project, many methods (including analytical and numerical methods) for modelling the rail-sleeper-ballast system were developed and studied. After a series of comprehensive numerical and experimental case studies, a finite element based rail-sleeper-ballast modelling method was developed to enable ballast-damage detection.

One of the contributions of this project is the design and construction of a full-scale ballasted track test panel consisting of a plain track segment that follows the MTR Permanent Way design specification. A series of vibration tests were carried out to collect data from this ballasted track under undamaged conditions and under various ballast-damage scenarios.

The proposed ballast-damage detection method developed in this project follows the model updating approach. This approach, however, involves solving a numerical optimisation problem that is time consuming for on-site real time application. To make the proposed method more practical, investigations were conducted into the possibility of replacing the on-site model updating process with an off-site artificial neural network (ANN) training process. The training of ANN is time consuming, but it can be carried out in an off-line manner. After training, the use of ANN in calculating the ballast-stiffness distribution under the target sleeper, based on the set of measured modal parameters, can be done quickly.

The results from this project's numerical and experimental case studies are very positive and show that the proposed method is feasible. Detailed discussions concerning the pros and cons of the proposed method are given at the conclusion of this thesis. Nevertheless, some technical problems need to be overcome before this method can be put into real application. The directions for further research are given at the end of the conclusions section.